



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Krishan Shah

Serial No.: 10/760, 479

Filed: 21-Jan-04

Group Art Unit: 3739

Examiner: VRETTAKOS, PETER J

Attorney Docket No.: 20160-12

Commissioner for Patents  
Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
  - Statement under 37 CFR 1.97(e), or
  - a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
  - Statement under 37 CFR 1.97(e), and
  - a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Forms PTO/SB/08A and B -Information Disclosure Statement by Applicant together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

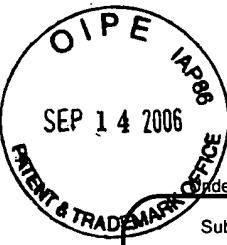
Respectfully submitted,



Louis Tessier  
Agent for Applicant(s)  
Reg. No 45,289

Date: July 30, 2006

Telephone No.: (514) 990-3434



SEP 14 2006

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of 3

**Complete if Known**

Application Number	10/760,479
Filing Date	21-Jan-04
First Named Inventor	Krishan Shah
Art Unit	3739
Examiner Name	VRETTAKOS, PETER J

Attorney Docket Number 20160-12

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
1	US- 7,029,470	03-04-2004	Francischelli et al.		
2	US- 5,904,679	05-18-1999	Clayman		
3	US- 6,565,652	05-20-2003	Shah et al.		
4	US- 6,811,544	11-02-2004	Schaer		
5	US- 6,814,733	11-09-2004	Schwartz et al.		
6	US- 6,117,131	09-12-2000	Taylor		
7	US- 6,032,674	03-07-2000	Eggers et al.		
8	US- 5,893,848	04-13-1999	Negus et al.		
9	US- 2002/0123749	09-05-2002	Jain		
10	US- 2002/0169377	11-14-2002	Khairkhahan		
11	US- 6,117,131	09-12-2000	Taylor		
	US- 5,683,366	11-04-1997	Eggers et al.		
	US-				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
1	WO 2004/039433 A2		10-29-2003	Tucker		

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Sheet	2	of	3	Attorney Docket Number	20160-12

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	TONY ABDEL-MASSIH, YOUNES BOUDJEMLINE, PHILLIPP BONHOEFFER, Unusual interventional management in an adult with tetralogy of Fallot, Cardiol Young 2003 Apr;13(2):203-5	
	2	HUGH CALKINS, YOON-NYUN KIM, STEVE SCHMALTZ, JOAO SOUSA, RAFEL EL-ATASSI, ANGEL LEON, ALAN KADISH, JONATHAN J. LANGBERG, FRED MORADY; Electrogram Criteria for Identification of Appropriate Target Sites for Radiofrequency Catheter Ablation of Accessory Atrioventricular Connections; 1992 Feb;85(2):565-73	
	3		
	4	TILMAN HUMPL, BJORN SODERBERG, BRIAN W. MCCRINDLE, DAVID G. NYKANEN, ROBERT M. FREEDOM, WILLIAM G. WILLIAMS, LEE N. BENSON; Percutaneous Balloon Valvotomy in Pulmonary Atresia with Intact Ventricular Septum; Circulation, August 19th 2003	
	5	HENRY W. KORT, DAVID T. BALZER; Radiofrequency Perforation in the Treatment of Acquired left Pulmonary Artery Atresia Following Repair of Tetralogy of Fallot; Catheterization and Cardiovascular Interventions 2003 Sep;60(1):79-81	
	6	SHYAM S. KOTHARI, SANJEEV K. SHARMA, NITISH NAIK; Radiofrequency Perforation for Pulmonary Atresia and Intact Ventricular Septum; Indian Heart J 2004 Jan-Feb;56(1):50-3 (Actual publication after February, 2004)	
	7	DANIEL S. LEVI, JUAN C. ALEJOS, JOHN W. MOORE; Future of Interventional Cardiology in Pediatrics; Current Opinion in Cardiology 2003 Mar;18(2):79-90	
	8	DAVID G. NYKANEN, JAANA PIHKALA, GLENN P. TAYLOR, LEE N. BENSON; Radiofrequency Assisted Perforation of the Atrial Septum in a Swine Model: Feasibility, Biophysical and Histological Characteristics; Circulation (Suppl 1) 100pp1 - 804 (1999) Jan )	
	9	CARLOS A.C. PEDRA, LUCIANO N.L. DE SOUSA, SIMONE R.F.F. PEDRA, WALDINAI P. FERREIRA, SERGIO L.N. BRAGA, CESAR A. ESTEVES, MARIA VIRGINIA T. SANTANA, J. EDUARDO SOUSA, VALMIR F. FONTES; New Percutaneous Techniques for Perforating the Pulmonary Valve in Pulmonary Atresia with Intact Ventricular Septum; Arq Bras Cardiol, 2001 Nov;77(5):471-86	
	10	CARLOS A.C. PEDRA, RONALDO MONT'ALVERNE FILHO, RAUL S. ARRIETA, RICARDO TELLEZ, VALMIR F. FONTES; Recanalization of a Discrete Atretic Right Pulmonary Artery Segment with a New Radiofrequency System; Catheterization and Cardiovascular Interventions 2003 Sep;60(1):82-7	

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Sheet	3	of	3	Attorney Docket Number	20160-12
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Baylis Medical Company Inc. "Radiofrequency Perforation System". 2001 (sept.)	
	2	GRUSCHEN R. VELDTMAN, AMANDA HARTLEY, NAHEED VISRAM, LEE N. BENSON; Radiofrequency Applications in Congenital Heart Disease; Expert Rev. Cardiovasc. Ther. 2004 Jan;2(1):117-26	
	3	GERD HAUSDORF, MARTIN SCHNEIDER, PETER LANGE; Catheter Creation of an Open Outflow Tract in Previously Atretic Right Ventricular Outflow Tract Associated with Ventricular Septal Defect; The American Journal of Cardiology, Volume 72 August 1, 1993	
	4	CHRISTOPHE FINK, MATTIAS PEUSTER, HARALD BERTRAM, GERD HAUSDORF; Transcatheter Recanalization of the Left Main Pulmonary Artery After Four Years of Complete Occlusion; Catheterization and Cardiovascular Interventions 2004 Jan;2(1):117-26	
	5	G HAUSDORF, I SCHULZE-NEICK, PE LANGE; Radiofrequency-assisted "reconstruction" of the Right Ventricular Outflow Tract in Muscular Pulmonary Atresia with Ventricular Septal Defect; British Heart Journal, 1993 Apr;69(4):343-6	

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